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Proofpoint Announces Intelligent Supervision and Compliance Gateway to Help Customers with FINRA and SEC Audits

- | *Cloud-based Intelligent Supervision is the first compliance monitoring platform to reduce audit time by 35 percent*
- | *Compliance Gateway provides mandatory evidence and simplifies the audit process*

SUNNYVALE, Calif., June 01, 2016 (GLOBE NEWSWIRE) -- [Proofpoint, Inc.](#), (NASDAQ:PFPT), a leading next-generation cybersecurity and compliance company, today announced [Proofpoint Intelligent Supervision](#) to significantly accelerate FINRA, SEC and IIROC compliance review and reduce audit time by 35 percent. With native support across email, social media and enterprise collaboration platforms, Proofpoint makes it easy for large financial services organizations to quickly respond to regulatory audit requests and pinpoint compliance violations.

"Supervisory review innovation has been extremely limited despite an exponential increase in message volumes and content types," said Darren Lee, senior vice president of Archiving and Governance for Proofpoint. "And while the task has expanded, compliance reviewers have limited time and are expected to do more with less. Our new Intelligent Supervision platform combines the natural language processing, machine learning and deep contextual message grouping that is required to scale as compliance needs surge."

Every six months regulated financial services firms face FINRA, SEC and IIROC audits. These organizations are required to establish supervisory policies, implement safeguards to protect client record privacy, monitor disclosure accuracy and authorize any alterations. Each must prove they supervise their broker/dealers across every type of communication, including email, public social media, enterprise collaboration and instant messages.

Key Proofpoint Intelligent Supervision features include:

- | **Proven enterprise scalability:** Performing well in the largest, most complex organizations, Proofpoint Intelligent Supervision can scale to review hundreds of thousands of monitored employees across thousands of review teams.
- | **Rich dashboards and reporting:** Extensive reports provide compliance officers insight into the review process and the necessary tools to meet regulatory audit requests quickly and easily.
- | **Streamlined review:** Reviewers can accelerate the review process through snippet views which help to quickly determine message compliance violations in both content and attachments. Rich policies help organizations ensure true-positives.

By combining context-aware detection rules and its patent-pending Deep Social Linguistic Analysis detection, Proofpoint Intelligent Supervision reduces time spent on compliant communications. Reviewers can pinpoint violations faster and more accurately across broad communication and data types.

According to a recent [IDC Technology Spotlight¹](#), "As the regulatory environment continues to evolve and corporate data stores continue to expand, Proofpoint has an opportunity to help organizations protect and govern their sensitive information to help mitigate risk and manage costs more effectively and confidently."

To further ensure FINRA and SEC audit compliance, Proofpoint also announced the availability of Proofpoint Compliance Gateway today. Two of the world's largest banks already use this new offering, which provides mandatory evidence that messages are under surveillance and defensibly archived. This enables compliance teams to gain complete visibility into messages sent and received while simplifying the audit process.

"Proofpoint is committed to providing the financial services industry with best-in-class compliance solutions," added Lee. "We are making the process of attaining visibility and compliance control over organizational data easier than ever before. Proofpoint Intelligent Supervision and Compliance Gateway demonstrate our ability to move compliance technology forward with smarter search, retention, supervision and reporting."

Proofpoint Intelligent Supervision is expected to be available in the third quarter of 2016. For more information please visit www.proofpoint.com/supervision.

About Proofpoint, Inc.

Proofpoint Inc. (NASDAQ:PFPT) is a leading next-generation security and compliance company that provides cloud-based solutions for comprehensive threat protection, incident response, secure communications, social media and mobile security, compliance, archiving and governance. Organizations around the world depend on Proofpoint's expertise, patented technologies and on-demand delivery system. Proofpoint protects against phishing, malware and spam, while safeguarding privacy, encrypting sensitive information, and archiving and governing messages and critical enterprise information. More information is available at www.proofpoint.com.

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Forward-Looking Statements

This press release contains forward-looking statements that involve risks and uncertainties. These forward-looking statements include statements regarding future offerings and solutions and the features of such offerings and solutions. It is possible that future circumstances might differ from the assumptions on which such statements are based. Important factors that could cause results to differ materially from the statements herein include: technical challenges in implementing future offerings and solutions in a timely fashion; changes in strategy; unforeseen delays in developing new technologies and the uncertain market acceptance of new products or features; and the other risk factors set forth from time to time in our filings with the SEC, including our Quarterly Report on Form 10-Q for the three months ending March 31, 2016, and the other reports we file with the SEC, copies of which are available free of charge at the SEC's website at www.sec.gov or upon request from our investor relations department. All forward-looking statements herein reflect our opinions only as of the date of this release, and Proofpoint undertakes no obligation, and expressly disclaims any obligation, to update forward-looking statements herein in light of new information or future events.

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(1) IDC Technology Spotlight, sponsored by Proofpoint, FINRA Regulations Require Technology Innovation to Address Supervision and Monitoring Workload, May 23, 2016.

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