



100.102.002

Anti-Corruption Program – Global Appendix 2, INITIAL INTAKE FORM

CORPORATE

Policy Owner:

Assoc General Counsel - Compliance

Revision History:

New, replaces Regal Employee Report

2015-Jun-17

REGAL BUSINESS SPONSOR TO COMPLETE AND RETURN THIS FORM TO YOUR THE ETHICS & COMPLIANCE OFFICE AT integrity@regalbeloit.com

WHO

- 1. Business Partner Name
2. Partner (Customer) Source ID (from Global Trade tool or Oracle)
3. Is BP a Company or Individual? (choose one)
4. Is Business Partner a distributor, sales representative, accountant, travel agent, lawyer, broker, consultant, vendor, or other type of Business Partner? (choose one)
5. Who is the name of the person (at the BP) who will be primarily responsible for Regal's relationships with BP?
6. What is the date of birth and citizenship country of that person? YYYY-MM-DD
7. Is any stakeholder, employee, manager or owner of Business Partner related to an employee of Regal – by blood or friendship? Y/N (choose one)
8. Is any stakeholder, employee, manager or owner of Business Partner related to a government, an employee of a government, an employee of a government owned business or a public official? Y/N/have not asked (choose one)

WHAT

- 9. Describe the nature of Business Partner's business:
10. What services and products will Business Partner provide to or sell for Regal? (List types of products and services generally; examples are sub-fractional motors, blowers, gears, and drives or negotiation services)
11. How long has this business partner been in business related to those particular products and services? Less than 1 year, 1-3 years, 4-9 years, 10-14 years, 15 or more years (choose one)
12. Will the Business Partner need to create a new entity to work with Regal? Y/N/Maybe (choose one)
13. Will this Business Partner be expected to talk with, sell to or otherwise interact with local, municipal, state, or national government employees or employees of state-owned companies in the course of performing its duties for Regal? Y/N (choose one) If yes, please explain

WHERE

- 14. Business Address: include street, city, state, country, postal code & contact phone number
15. Is this business address the only country where you anticipate this partner will provide products or services? Y/N If no, list the other countries where you think this Business Partner might act for or on behalf of Regal:
16. What is Business Partner's website(s) address?

WHEN & WHY

- 17. What is the timeframe to begin working with Business Partner? Now, within 1 month, 1 Month + (choose one)
18. Please explain why you want to work with and engage this Business Partner to provide the products or perform the services described.

How

- 19. Will the Business Partner likely be paid in the same country as its business address above? Y/N
20. How do you think this Business Partner will be paid? Cash, ACH, wire transfer, barter, other
21. How did you find out about or identify this Business Partner?

Provide any other details you feel or think might be relevant or that we should know about this Business Partner:

Regal Business Sponsor Name & email:
Date Submitted: YYYY-MM-DD Additional Regal Employee & email: