

Global Battery Minerals Policy

Last Revised: January 2026



Purpose

This policy aligns with John Deere's commitment to ethical business practices and environmental stewardship, ensuring compliance with the Regulation (EU) 2023/1542 of the European Parliament and of the Council of 12 July 2023 (EU Battery Regulation). The EU Battery Regulation aims to ensure the sustainability, safety, and circularity of batteries placed on the EU market including transparency of key raw minerals mining and processing.

John Deere strives to provide environmentally friendly solutions to the market by providing hybrid and electric products using rechargeable batteries to reduce the company's overall emissions in conformance with applicable laws, regulations, and best practices in responsible sourcing.

Applicability

This policy applies to the company's business operations globally and to all employees, contingent workers, and contract workers. The company is defined as Deere & Company and its subsidiaries and controlled affiliates (hereinafter – John Deere, the Company). Employees whose responsibilities relate to the supply or sourcing of parts, components, and materials should be informed and are expected to comply with these requirements and associated legislation or regulation.

This policy covers the products (including lithium-ion batteries and battery cells) as defined by the EU Battery Regulation that may be sold or used by John Deere products in the EU, including Wirtgen Group, Kreisel Electric, and other John Deere subsidiaries and controlled affiliates.

Definitions

- Battery Minerals: Lithium, Cobalt, Nickel, and Natural Graphite, and chemical compounds based on these minerals.
- Lithium-Ion Battery: A type of rechargeable battery commonly used in portable electronics and electric vehicles, known for its high energy density and long cycle life.

Policy

The company is committed to conducting its worldwide business operations in a manner that complies with applicable laws and regulations regarding compliance with the EU Battery Regulation. To comply with these requirements our business operations will:

- **Inform Suppliers:** Communicate this battery minerals policy and its relationship to the company's Supplier Code of Conduct to direct suppliers.
- **Ensure Supply Chain Due Diligence** work with direct suppliers and sub-suppliers to understand the chain of custody for battery materials, ensuring compliance with the regulation.
- **Source Sustainable Materials:** Take measures to source battery materials from suppliers that meet the environmental sustainability criteria set by the EU Battery Regulation, respect human rights, and avoid sourcing from conflict affected or high-risk areas.
- **Track and Monitor Performance:** Engage and collaborate with suppliers to track and address their performance in sourcing sustainable and compliant battery materials.

Supplier Requirements

John Deere suppliers must:

- **Assist Compliance:** Support John Deere's compliance with the EU Battery Regulation and provide all necessary declarations and documentation.
- **Ensure Due Diligence:** Undertake reasonable due diligence within their supply chain to determine the chain of custody and origin of battery materials. This includes developing policies and management systems to use sustainable materials.
- **Source from Compliant Suppliers:** Purchase battery materials from suppliers who meet the EU Battery Regulation's requirements for sustainability.
- **Provide Information:** Comply with information requests on the source and origin of battery materials and address any concerns to the EU Battery Regulation. Maintain chain of custody data for ten years and provide it to John Deere upon request.

Consequences for Policy Violations

The Company will work with its suppliers to seek remedies for non-compliance with this policy. These remedies may include suspension or discontinuing engagement with the supplier.

Reporting Responsibilities and Grievance Mechanisms

Concerns about potential or suspected violations of this policy must be reported immediately in accordance with the Global Reporting Policy. John Deere has established grievance mechanisms to enable stakeholders to report concerns confidentially and without fear of retaliation. These mechanisms ensure that all reports are taken seriously and addressed promptly.

Commitment to Non-Retaliation

Retaliation against any employee for reporting an incident under this policy or for participating in any investigation regarding an incident is a violation of this policy and is prohibited. Retaliation can include any negative job action, such as demotion, discipline, termination, salary reduction, or job or shift reassignment. Retaliation can also be more subtle and may be any conduct that would reasonably deter another person from reporting. More information can be found in the Global Policy Against Retaliation.

Additional Information/Contacts

General questions from Deere employees and suppliers about battery minerals and this policy should be directed to the John Deere Supply Management Compliance Organization at 90SMCompliance@JohnDeere.com.

External interested parties and all mentioned above can use the John Deere Compliance Hotline to report concerns or violations in the following address:

[EthicsPoint - John Deere](#)