

<b>Policy Name: Code of Business Conduct and Ethics</b>	<b>Public</b>
<b>Owner: Vice President of Human Resources</b>	<b>Policy applies to: All employees, officers and directors</b>

### General Statement of Policy

Cloudera, Inc. (collectively with its subsidiaries, “**Cloudera**”, “**we**”, “**our**” or “**us**”) is committed to promoting high standards of honest and ethical business conduct and compliance with applicable laws, rules and regulations that are applicable to its business. As part of this commitment, Cloudera has adopted this Code of Business Conduct and Ethics (this “**Code**”), which is an element of Cloudera’s Legal Compliance Policy. The Board of Directors (the “**Board**”) and Cloudera’s management have adopted this Code to set expectations and provide guidance applicable to every Cloudera employee and officer and every member of the Board (as defined below) (each, a “**director**” and collectively, the “**directors**”).

It is the policy of Cloudera that all of its employees, officers and directors adhere to the following principles:

- Honesty and candor in our activities, including observance of the spirit, as well as the letter of the law;
- Avoidance of conflicts between personal interests and the interests of Cloudera, or even the appearance of such conflicts;
- Avoidance of payments to candidates running for government posts or other government officials;
- Compliance with generally accepted accounting principles and controls;
- Maintenance of our reputation and avoidance of activities which might reflect adversely on Cloudera; and
- Integrity in dealing with the Cloudera’s assets.

Anyone who violates the standards in this Code will be subject to disciplinary action, which, in appropriate circumstances, may include termination of employment for cause (for employees), removal from the Board (for directors), legal action or referral for criminal prosecution.

### Responsibilities

- *Understand the Policy.* You are responsible for reading and understanding this Code, and using it as a guide to the performance of your responsibilities for Cloudera.
- *Do Not Encourage Violations.* No one has the authority to make you violate this Code, and any attempt to direct or otherwise influence someone else to commit a violation is unacceptable.
- *Use Common Sense.* This Code cannot address every ethical issue or circumstance that may arise; so, in complying with the letter and spirit of this Code, it is your responsibility to apply common sense, together with high personal standards of ethics, honesty and accountability, in making business decisions where there is no specific guideline under this Code, Cloudera’s Legal

Compliance Policy or the subject matter specific policies that are components of Cloudera's Legal Compliance Policy.

- *Conduct of Family Members.* You should consider not only your own conduct, but also that of your family members. Throughout this Code, the term "family member" refers to a person's spouse, parents, children and siblings, whether by blood, marriage or adoption, or anyone residing in such director's or employee's home.

In addition, we expect you to comply with all other Company policies and procedures that may apply to you, many of which supplement this Code by providing more detailed guidance. These additional policies and procedures include our policies on equal opportunity, harassment, drug-free workplace, computer usage and information technology, expense reimbursement and travel, as well as our internal financial controls and procedures, which can be found on our internal Wiki. We may modify or update these specific policies and procedures from time to time, and adopt new Company policies and procedures in the future. Employees are responsible for reviewing the policies posted on our internal wiki.

Nothing in this Code is intended to alter existing legal rights and obligations of Cloudera or any of its employees, officers or directors, including "at will" employment arrangements or the terms of any employment-related agreement we may have with you.

#### Scope

- *Expectations.* We expect all of our directors, executives, managers and other supervisory personnel to act with honesty and integrity, use due care and diligence in performing responsibilities to Cloudera to help maintain a sense of commitment to this Code among all our employees and directors, and foster a culture of fairness, honesty and accountability within Cloudera.
- *Agents and Contractors.* We also expect Cloudera's agents and contractors to conform to the standards of this Code when working on our behalf, especially when such agents and contractors have been engaged by Cloudera's Board or its committees, and for Company personnel to notify the Compliance Officer regarding potential violations.

#### Assistance

- *Contact Your Supervisor.* If you need help understanding this Code, or how it applies to conduct in any given situation, you should contact your supervisor or the Compliance Officer. Consult Cloudera's Legal Compliance Policy regarding the appointment of the Compliance Officer. The Compliance Officer and his or her contact information are identified under the "Legal" section on Cloudera's internal wiki.
- *Report Violations.* In addition, you should be alert to possible violations of this Code by others and should report suspected violations, without fear of any form of retaliation, as described in Cloudera's Whistleblower Policy.

## Obey the Law

### Legal Compliance

- *Understand the Legal and Regulatory Requirements.* It is essential that you know and understand the legal and regulatory requirements that apply to our business and to your specific area of responsibility. While you are not expected to have complete mastery of these laws, rules and regulations, you are expected to be able to recognize situations that require you to consult with others to determine the appropriate course of action. If you have a question in the area of legal compliance, you should approach your supervisor or the Compliance Officer immediately.
- *Obey the Law.* You must always obey the law while performing your duties to Cloudera. You also must always comply with Cloudera's Legal Compliance Policy and the subject matter specific policies that are components of Cloudera's Legal Compliance Policy.

### Insider Trading

- *Do Not Trade Cloudera Securities Based on Non-public Information.* Every employee, officer and director (a "Covered Person") is prohibited from using "inside" or material non-public information about Cloudera, or about companies with which we do business, in connection with buying or selling our or such other companies' securities, including "tipping" others who might make an investment decision on the basis of this information. Covered Persons who have access to inside information are not permitted to use or share inside information for stock trading purposes or for any other purpose except to conduct Company business.
- *Exercise Care.* Covered Persons must exercise the utmost care when in possession of material non-public information. Cloudera's Insider Trading Policy provides guidance on the types of information that might be non-public and material for these purposes, and guidelines on when and how you may purchase or sell shares of Cloudera stock or other Cloudera securities.

Please review Cloudera's Insider Trading Policy for additional information.

### International Business Laws

We expect employees to comply with U.S. laws, rules and regulations governing the conduct of business by U.S. citizens and corporations outside the United States. You are also expected to comply with all applicable laws wherever you travel on Company business, including laws prohibiting bribery, corruption or the conduct of business with specified individuals, companies or countries.

These U.S. laws, rules and regulations, which extend to all our activities outside the United States, include:

- the U.S. Foreign Corrupt Practices Act,
- United States embargoes,

- travel and export controls, and
- anti-boycott compliance.

For more information, please see Cloudera’s Anti-Corruption Policy, Export Control Policy and any other related policies that Cloudera may adopt from time to time. If you have a question as to whether an activity is restricted or prohibited, please contact your supervisor or the Compliance Officer before taking any action.

### Lawsuits and Legal Proceedings

- *Record Preservation.* Cloudera complies with all laws and regulations regarding the preservation of records. Lawsuits, legal proceedings, and investigations concerning us must be handled promptly and properly. You must contact the General Counsel immediately if you receive a court order or a court issued document, or notice of a threatened lawsuit, legal proceeding, or investigation.
- *Do Not Alter or Destroy Documents During a Legal Hold.* A legal hold suspends all document destruction procedures in order to preserve appropriate records under special circumstances, such as litigation or government investigations. When there is a “legal hold” in place, you may not alter, destroy, or discard documents relevant to the lawsuit, legal proceeding or investigation. The General Counsel determines and identifies what types of records or documents are required to be placed under a legal hold and will notify employees if a legal hold is placed on records for which they are responsible.
- *Do Not Discuss Legal Disputes.* If you are involved on Cloudera’s behalf in a lawsuit or other legal dispute, you must avoid discussing it with anyone inside or outside of Cloudera without prior approval of the Legal Department. Employees and their managers are required to cooperate fully with the Legal Department in the course of any lawsuit, legal proceeding, or investigation.

### Ethical Obligations

#### Candor Among Employees and in Dealing with Auditors and Counsel

- *Do Not Conceal Violations.* Cloudera’s senior management must be informed at all times of matters that might adversely affect Cloudera’s reputation, regardless of the source of such information. Moreover, complete candor is essential in dealing with Cloudera’s independent auditors and attorneys. You should inform your direct manager or Human Resources of any such information of which you become aware.

### Conflicts of Interest

- *Definition.* A “conflict of interest” occurs when a personal interest interferes in any way (or even appears or could reasonably be expected to interfere) with the interests of Cloudera as a whole.
- *Avoid Conflicts of Interest.* We expect our Covered Persons to avoid actual or apparent conflicts of interest between their personal and professional relationships.

- *Outside Interests.* Sometimes conflicts of interest arise when a Covered Person takes some action or has some outside interest, duty, responsibility or obligation (including a fiduciary interest owed to others) that conflicts with an interest of Cloudera or his or her duty to Cloudera. For example, a full-time employee's primary work obligation is to Cloudera. Outside activities, such as a second job or self-employment, must be kept entirely separate from employment with Cloudera.
- *Family Members.* A conflict of interest can arise when a Covered Person or relative of the Covered Person takes actions or has interests that may make it difficult to perform his or her duties objectively and effectively. Conflicts of interest can also arise when a Covered Person or relative of the Covered Person receives improper personal benefits as a result of a Company position.

In evaluating whether an actual or contemplated activity may involve a conflict of interest, you should consider:

- whether the activity would appear improper to an outsider;
- whether the activity could interfere with your job performance or that of another Covered Person;
- whether the Covered Person has access to confidential Company information or influence over significant Company resources or decisions;
- the potential impact of the activity on Cloudera's business relationships, including relationships with customers, partners, suppliers and service providers;
- the extent to which the activity could benefit the Covered Person or a family member of the Covered Person, directly or indirectly;
- any overlap between your specific role at Cloudera
- whether the investment is in a publicly traded or non-publicly traded company.

A few examples of activities that could involve conflicts of interests include:

- *Aiding our competitors.* For example, this could take the form of service as an advisor or consultant to, or as an employee or a member of the board of directors of a competitor or passing confidential Company information to a competitor, or accepting payments or other benefits from a competitor.
- *Involvement with any business that does business with us or seeks to do business with us.* Employment by or service on the board of directors of a customer, partner, supplier or service provider is generally discouraged and you must seek authorization in advance if you plan to have such a relationship.
- *Owning a significant financial interest in a competitor or a business that does business with us or seeks to do business with us.* In evaluating such interests for conflicts, both direct and indirect

interests that a Covered Person may have should be considered, along with factors such as the following:

- the size and nature of the Covered Person's interest;
- the nature of Cloudera's relationship with the other entity;
- whether the employee or director has access to confidential Company information;
- whether the employee or director has an ability to influence Company decisions that would affect the other entity; and
- compliance with the guidelines set forth in Cloudera's Corporate Opportunity and Conflict of Interests Policy.

If you have or wish to acquire a significant financial interest in a competitor, or in a customer, partner, supplier or service provider with which you have direct business dealings (or approval responsibilities), you must consult with the Compliance Officer. Similarly, if you experience a change of position or seniority that results in your having direct business dealings with a customer, partner, supplier or service provider in which you already have a significant financial interest, you must consult with the Compliance Officer.

- *Soliciting or accepting payments, gifts, loans, favors or preferential treatment from any person or entity that does or seeks to do business with us.* See "Gifts and Entertainment" below for further discussion of the issues involved in this type of conflict.
- *Taking personal advantage of corporate opportunities.* See "Corporate Opportunities" below for further discussion of the issues involved in this type of conflict.
- *Having authority on behalf of Cloudera over a co-worker who is also a family member, or transacting business on behalf of Cloudera with a family member.* The Covered Person who may be involved in such a situation should consult with his or her supervisor and the Compliance Officer to assess the situation and an appropriate resolution.

You must avoid these situations (and others like them) where your loyalty to Cloudera could be compromised. If you believe that you are involved in a potential conflict of interest, you are expected to discuss it with the Compliance Officer.

- *Directors' Conflicts of Interest.* Like employees, directors also should seek to avoid conflicts of interest with their obligations to Cloudera. See Cloudera's Corporate Opportunity and Conflict of Interests Policy for more information.

### ***Special Note Regarding Loans***

Loans to certain Covered Persons or their family members by Cloudera, or guarantees of their loan obligations, could constitute an improper personal benefit to the recipients of these loans or guarantees. Accordingly, beginning with the adoption of this Code, Company loans and guarantees for executive officers and directors are expressly prohibited by law and Company policy. In addition, Compensation Committee approval is required for any Company loan to any other employee.

### **Corporate Opportunities**

Employees may not compete with Cloudera, or take personal advantage of business opportunities that Cloudera might want to pursue. Employees are prohibited from taking for themselves personally opportunities that are discovered through the use of corporate property, information or position. Even opportunities that are acquired through independent sources may be questionable if they are related to Cloudera's existing or proposed lines of business. Employees owe a duty to Cloudera to advance Cloudera's legitimate business interests when opportunities arise. Accordingly, participation by employees in an outside business opportunity that is related to our existing or proposed lines of business is prohibited.

- *Consult the Compliance Officer.* Employees should consult the Compliance Officer to determine an appropriate course of action if interested in pursuing an opportunity discovered through or in connection with such employee's Company position, or use of Company property or information.
- *Director Compliance.* Directors must ethically handle their participation in any material business opportunity in which Cloudera has already expressed an interest or which is closely related to Cloudera's business or its anticipated future plans.
  - *Who to Notify When a Conflict Arises.* If a director believes a situation may exist in which he or she (or a person or entity which with he or she is affiliated) may participate in such a Company corporate opportunity in a manner that could conflict with the director's duty to advance Cloudera's business interests, then he or she must promptly notify the Chair of the Nominating and Governance Committee (or, in the case of the Chair of the Nominating and Governance Committee, the Chair of the Audit Committee) and Cloudera's General Counsel. See Cloudera's Corporate Opportunity and Conflict of Interests Policy for more information.

#### Maintenance of Corporate Books, Records, Documents and Accounts; Financial Integrity; Public Reporting

We strive to maintain integrity of our records and public disclosure. Our corporate and business records, including all supporting entries to our books of account, must be completed honestly, accurately and understandably. We depend on our books, records and accounts accurately and fairly reflecting, in reasonable detail, our assets, liabilities, revenues, costs and expenses, as well as all transactions and changes in assets and liabilities.

To help ensure the integrity of our records and public disclosure, we require that:

- no entry be made in our books and records that is intentionally false or misleading;
- transactions be supported by appropriate documentation;
- the terms of sales and other commercial transactions be reflected accurately in the documentation for those transactions and all such documentation be reflected accurately in our books and records;
- employees comply with our system of internal controls and be held accountable for their entries;
- any off-balance sheet arrangements of Cloudera are clearly and appropriately disclosed;
- employees work cooperatively with Cloudera's independent auditors in their review of Cloudera's financial statements and disclosure documents;

- no cash or other assets be maintained for any purpose in any unrecorded or “off-the-books” fund; and
- records be retained or destroyed according to Cloudera’s document retention policies or procedures then in effect.

Our disclosure controls and procedures are designed to help ensure that Cloudera’s reports and documents filed with or submitted to the United States Securities and Exchange Commission (the “**SEC**”) and other public disclosures are complete, fair and accurate, fairly present our financial condition and results of operations and are timely and understandable.

Employees who collect, provide or analyze information for or otherwise contribute in any way in preparing or verifying these reports should adhere to all disclosure controls and procedures and generally assist Cloudera in producing financial disclosures that contain all of the information about Cloudera that is required by law and would be important to enable investors to understand our business and its attendant risks. In particular:

- no employee may take or authorize any action that would cause Cloudera’s financial records or financial disclosure to fail to comply with generally accepted accounting principles, the rules and regulations of the SEC or other applicable laws, rules and regulations;
- all employees must cooperate fully with our finance department, as well as our independent auditors and legal counsel, respond to their questions with candor and provide them with complete and accurate information to help ensure that Cloudera’s books and records, as well as its reports filed with the SEC, are accurate and complete; and
- no employee should knowingly make (or cause or encourage any other person to make) any false or misleading statement in any of Cloudera’s reports filed with the SEC or knowingly omit (or cause or encourage any other person to omit) any information necessary to make the disclosure in any of such reports accurate in all material respects.

In connection with the preparation of the financial and other disclosures that we make to the public, including by press release or filing a document with the SEC, directors must, in addition to complying with all applicable laws, rules and regulations, follow these guidelines:

- act honestly, ethically, and with integrity;
- comply with this Code;
- endeavor to ensure complete, fair, accurate, timely and understandable disclosure in our filings with the SEC;
- raise questions and concerns regarding our public disclosures when necessary and ensure that such questions and concerns are appropriately addressed;
- act in good faith in accordance with the director’s business judgment, without misrepresenting material facts or allowing independent judgment to be subordinated by others; and
- comply with our disclosure controls and procedures and internal controls over financial reporting.



If you become aware that our public disclosures are not full, fair and accurate, or if you become aware of a transaction or development that you believe may require disclosure, you should report the matter immediately to your supervisor or the Compliance Officer.

### Conduct of Senior Financial Personnel

Our finance department has a special responsibility to promote integrity throughout the organization, with responsibilities to stakeholders both inside and outside of Cloudera. As such, the Board requires that the Chief Executive Officer and senior personnel in our finance department adhere to the following ethical principles and accept the obligation to foster a culture throughout Cloudera as a whole that ensures the accurate and timely reporting of our financial results and condition.

Because of this special role, we require that the Chief Executive Officer, Chief Financial Officer, Principal Accounting Officer and any other persons performing similar functions ("**Senior Financial Employees**") to:

- act with honesty and integrity and use due care and diligence in performing his or her responsibilities to Cloudera.
- avoid situations that represent actual or apparent conflicts of interest with his or her responsibilities to Company, and disclose promptly to the Nominating and Governance Committee any transaction or personal or professional relationship that reasonably could be expected to give rise to such an actual or apparent conflict. Without limiting the foregoing, and for the sake of avoiding an implication of impropriety, Senior Financial Employees will not:
  - accept any material gift or other gratuitous benefit from a customer, business partner, supplier or vendor of products or services, including professional services, to Cloudera (this prohibition is not intended to preclude ordinary course entertainment or similar social events);
  - except with the approval of the disinterested members of the Board, directly invest in any privately-held company that is a customer, business partner, supplier or vendor of Cloudera where the Senior Financial Employee, either directly or through people in his or her chain of command, has responsibility or ability to affect or implement Cloudera's relationship with the other company; or
  - maintain more than a passive investment of greater than 1% of the outstanding shares of a public company that is a customer, business partner, supplier or vendor of Cloudera.
- provide constituents with information that is accurate, complete, objective, relevant, timely and understandable, including information for inclusion in our submissions to governmental agencies or in public statements.
- comply with applicable laws, rules, and regulations of federal, state and local governments, and of any applicable public or private regulatory and listing authorities.
- achieve responsible use of and control over all assets and resources entrusted to each Senior Financial Employee.

## Gifts and Entertainment

You must be careful to avoid even the appearance of impropriety in giving or receiving gifts other than of nominal value and entertainment. In general, you cannot offer, provide or accept any gifts other than of nominal value or entertainment in connection with your service to Cloudera except in a manner consistent with customary business practices, such as customary and reasonable meals and entertainment.

- *Gifts Should Not Be Excessive.* Gifts and entertainment must not be excessive in value, in cash, susceptible of being construed as a bribe or kickback, or in violation of any laws. This principle applies to our transactions everywhere in the world, even if it conflicts with local custom.
- *Discuss Potential Gifts With Our Compliance Officer.* Discuss with your supervisor or the Compliance Officer any proposed entertainment or gifts if you are uncertain about their appropriateness, especially with government officials. For additional information, please see Cloudera's Anti-Corruption Policy.

## Political Contributions and Gifts

- *No Political Contributions from Cloudera.* Cloudera does not make contributions or payments that could be considered a contribution to a political party or candidate, or to intermediary organizations such as political action committees.
- *Personal Contributions Are Acceptable.* You are free to exercise your right to make personal political contributions within legal limits. You should not make these contributions in a way that might appear to be an endorsement or contribution by Cloudera. Cloudera will not reimburse you for any political contribution.

## **Treat Others Inside and Outside of Cloudera Fairly and Honestly**

### Competition and Fair Dealing

We strive to compete vigorously and to gain advantages over our competitors through superior business performance, not through unethical or illegal business practices.

- *Improper Conduct.* No employee may through improper means acquire proprietary information from others, possess trade secret information, or induce disclosure of confidential information from past or present employees of other companies. If you have obtained information of this variety by mistake, or if you have any questions about the legality of future actions, you must consult your supervisor or the Compliance Officer.
- *Maintain Integrity.* You are expected to deal fairly and honestly with Cloudera's customers, partners, suppliers and service providers, employees and anyone else with whom you have contact in the course of performing your duties to Cloudera. The making of false or misleading statements about Cloudera's competitors is prohibited by this Code, inconsistent with Cloudera's reputation for integrity and harmful to Cloudera's business. You may not take unfair advantage of anyone through manipulation, concealment, abuse of privileged information,

misuse of confidential information, misrepresentation of material facts or any other unfair business practice.

- *Favoritism is Prohibited.* Employees involved in procurement have a special responsibility to adhere to principles of fair competition in the purchase of products and services by selecting suppliers based exclusively on typical commercial considerations, such as quality, cost, availability, service and reputation, and not on the receipt of special favors. Such employees must award orders, contracts and commitment to suppliers of goods or services without favoritism. Company business of this nature must be conducted strictly on the basis of merit.

Employees involved in sales have a special responsibility to abide by all Company policies regarding selling activities, including Company policies relevant to revenue recognition. No employee may accept personal fees or commissions in connection with any transactions on behalf of Cloudera.

### ***Special Note regarding Antitrust Laws***

Antitrust laws are designed to protect customers and the competitive process. These laws generally prohibit Cloudera from establishing:

- price fixing arrangements with competitors or resellers;
- arrangements with competitors to share pricing information or other competitive marketing information, or to allocate markets or customers;
- agreements with competitors or customers to boycott particular business partners, customers or competitors; or
- a monopoly or attempted monopoly through anticompetitive conduct.

Some kinds of information, such as pricing, production and inventory, should never be exchanged with competitors, regardless of how innocent or casual the exchange may be, because even where no formal arrangement exists, merely exchanging information can create the appearance of an improper arrangement.

- *Do Not Discuss Pricing or Other Confidential Information With Customers.* In all contacts with competitors, whether at trade/business association meetings or in other venues, do not discuss pricing policy, contract terms, costs, inventories, marketing and product plans, market surveys and studies, production plans and capabilities; and, of course, any other proprietary or confidential information. Discussion of these subjects or collaboration on them with competitors can be illegal. If a competitor raises any of them, even lightly or with apparent innocence, you should object, stop the conversation immediately, and tell the competitor that under no circumstances will you discuss these matters.
- *Consequences of Noncompliance.* Noncompliance with the antitrust laws can have extremely negative consequences for Cloudera, including long and costly investigations and lawsuits, substantial fines or damages, and negative publicity.
- *Seek Assistance.* Understanding the requirements of antitrust and unfair competition laws of the jurisdictions where Cloudera does business can be difficult, and you are urged to seek assistance from your supervisor or the Compliance Officer whenever you have a question relating to these laws.

In summary, disassociate yourself and Cloudera from participation in any possibly illegal activity with competitors. Confine your communication to what is clearly legal and proper. If necessary, you should leave the meeting. Finally, report immediately to your direct manager or Human Resources any incident involving a prohibited subject.

### Confidentiality

We depend upon our confidential information, and rely on a combination of patent, copyright and trademark laws, trade secrets, confidentiality procedures and contractual provisions to protect it.

- *Confidential Information.* Confidential information includes all non-public information that might be useful to competitors or harmful to Cloudera or its customers if disclosed, including business, marketing, product and service plans, business and pricing strategies, financial information, forecasts, product architecture, non-distributed source code, engineering ideas, designs, databases, salary and personnel information, and customer and supplier lists and data as well as other trade secrets, all of which is more fully described in the proprietary information and invention agreement that each employee signed in connection with his or her employment by Cloudera, and similar types of information provided to us by our customers, suppliers and partners.
- *Maintain Confidentiality.* We cannot protect our confidential information without your help. Anyone who has had access to confidential Company information must keep it confidential at all times and use it only for Company purposes, both while working for Cloudera and after employment ends.
- *You must not share confidential Company information,* or any confidential information of a customer, partner, supplier or service provider with anyone who has not been authorized to receive it, except when disclosure is authorized or legally mandated (you should consult with the Compliance Officer if you believe disclosure of such confidential information is legally mandated). Unauthorized use or distribution of this information is extremely serious; it would violate your proprietary information and invention agreement and it could be illegal and result in civil liability or criminal penalties.
- *Protect Confidential Information.* You must take precautions to prevent unauthorized disclosure of confidential information. Accordingly, you should also take steps to ensure that business-related paperwork and documents are produced, copied, faxed, filed, stored and discarded by means designed to minimize the risk that unauthorized persons might obtain access to confidential information.
- *Be Careful of Public Forums.* You should not discuss sensitive matters or confidential information in public places, including any social media platforms, and you should avoid discussing confidential information on cellular phones to the extent practicable. For more information about use of Social Media, please see Cloudera's Social Media Policy.
- *Do Not Forward Information Outside of Cloudera.* All Company emails, voicemails and other communications are presumed confidential and should not be forwarded or otherwise disseminated outside of Cloudera, except where required for legitimate business purposes.

You are required to observe the provisions of any other specific policy regarding privacy and confidential information that we may adopt from time to time.

#### Protection and Proper Use of Company Assets

- *Protect Company Property.* All Covered Persons are expected to protect Cloudera's assets and ensure their efficient use for legitimate business purposes. Theft, carelessness and waste have a direct impact on Cloudera's business and operating results.
- *Use of Company Property.* Company property, such as computer equipment, buildings, furniture and office supplies, should be used only for activities related to your employment, although incidental personal use is permitted.
- *Monitoring of Company Property.* Please bear in mind that we retain the right to access, review, monitor and disclose any information transmitted, received or stored using our electronic equipment, with or without an employee's or third party's knowledge, consent or approval. Any misuse or suspected misuse of our assets must be immediately reported to your supervisor or the Compliance Officer.

#### Media Contacts and Public Communications

It is our policy to disclose material information concerning Cloudera to the public only in accordance with our Corporate Communications Policy in order to avoid inappropriate publicity and to ensure that all such information is communicated in a way that is reasonably designed to provide broad, non-exclusionary distribution of information to the public. Only those individuals designated as official spokespersons in Cloudera's Corporate Communications Policy may address questions regarding financial or other significant matters. Please see Cloudera's Corporate Communications Policy for additional information.

#### Environmental, Health and Safety Standards

Cloudera and its employees are required to comply with all applicable environmental, health and safety laws and regulations.

#### Community Relations

Cloudera has a corporate culture that is focused on preserving and protecting land, resources and the environment. Cloudera and its employees are committed to conducting all of its business operations in a way that avoids or minimizes any adverse impact on the environment. Cloudera and its employees will comply with all applicable environmental, health and safety laws and regulations.

Cloudera and its employees are required to conduct themselves as responsible and useful corporate citizens in all of the communities in which we operate.

#### Work Relationships and Equal Employment Opportunity

No employee will give or receive any special consideration to the conditions of employment of another employee due to family or personal relationships. No employment decisions, whether they be decisions

to hire, employ, promote, transfer, change compensation, or bar or discharge from employment, shall be based in whole or in part upon considerations of:

- age (40 and above)
- Race
- creed
- religion
- Color
- national origin
- ancestry, sex, gender
- gender identity or gender expression
- pregnancy (as well as childbirth and related medical conditions)
- disability (mental and physical, including HIV and AIDS)
- sexual orientation
- medical condition (cancer, or a record or history of cancer)
- genetic information
- veteran or marital or domestic partnership status
- family and medical care leave status

or any other category protected under federal or applicable state or local law, regulation or ordinance (including, in San Francisco, height and weight) of any individual, unless based upon a bona fide occupational qualification or other exception.

Cloudera is an equal opportunity employer. Cloudera is dedicated to ensuring the fulfillment of this policy with respect to hiring, placement, promotion, transfer, demotion, lay-off, termination, recruitment, advertising, rates of pay or other forms of compensation, selection for training and general treatment during employment. This includes making reasonable accommodations for applicants and employees with disabilities unless the accommodation would impose an undue hardship on the operation of our business.

In addition, it is Cloudera's policy to prohibit harassment of any employee by a manager, supervisor, co-worker, client, customer or visitor on the basis of the above-mentioned classifications, including gender. The purpose of this policy is to ensure that at Cloudera all employees are free from harassment on the basis of all the above-mentioned classifications including but not limited to gender.

## **Administrative Matters**

### Amendment and Waiver

Any amendment or waiver of this Code that applies to any of Cloudera's directors or executive officers must be in writing and must be authorized by our Board or, to the extent permissible under applicable laws, rules and regulations, a committee of the Board if the Board has delegated such authority to a committee. Any such amendment or waiver may be publicly disclosed if required by applicable laws, rules and regulations.

### Compliance Standards and Procedures

## ***Compliance Resources***

Cloudera has an obligation to promote ethical behavior. Every employee is encouraged to talk to his or her supervisor, managers and other appropriate personnel when in doubt about the application of any provision of this Code.

In addition to fielding questions with respect to interpretation or potential violations of this Code, the Compliance Officer is responsible for:

- investigating possible violations of this Code;
- training new employees in Code policies;
- conducting training sessions to refresh employees' familiarity with this Code;
- recommending updates to this Code as needed for approval by the Nominating and Governance Committee, to reflect changes in the law, Company operations and recognized best practices, and to reflect Company experience with this Code; and
- otherwise promoting an atmosphere of responsible and ethical conduct.

The Compliance Officer and his or her contact information are identified under the "Legal" section of Cloudera's internal wiki.

- *Contact Your Supervisor.* Your supervisor is the most immediate resource for any matter related to this Code. He or she may have relevant information or may be able to refer questions to another appropriate source.
- *Contact Our Compliance Officer.* There may be times when you prefer not to go to your supervisor. In these instances, you should feel free to discuss your concern with the Compliance Officer.
- *Alternate Resources.* If you are uncomfortable speaking with the Compliance Officer because he or she works in your department or is one of your supervisors, please contact the Chair of the Audit Committee (for matters related to accounting, internal accounting, controls or auditing) or the Chair of the Nominating and Governance Committee (for matters related to violations of federal, state or other laws (including securities laws) and all other matters), or follow the procedures outlined in Cloudera's Whistleblower Policy, which includes procedures for anonymous submission of reports or complaints, if desired.

## **Clarifying Questions and Concerns; Reporting Possible Violations**

If you encounter a situation or are considering a course of action and its appropriateness is unclear, you should discuss the matter promptly with your supervisor or the Compliance Officer; even the appearance of impropriety can be very damaging to Cloudera and should be avoided.

- *Do Not Conceal Violations.* If you are aware of a suspected or actual violation of this Code by others, it is your responsibility to report it. Failure to report such events constitute a violation of this Code. Reporting procedures, including anonymous reporting procedures, are described in the Whistleblower Policy.

- *Retaliation.* You should raise questions or report potential violations of this Code without any fear of retaliation in any form – it is our policy not to retaliate in such circumstances and we will take prompt disciplinary action, up to and including termination of employment for cause, against any director, officer or employee who retaliates against you.

Supervisors must promptly report any complaints or observations of Code violations to the Compliance Officer. The Compliance Officer will investigate all reported possible Code violations promptly and with the highest degree of confidentiality that is possible under the specific circumstances. As needed, the Compliance Officer will consult with the Legal Department, the Human Resources Department, the Nominating and Governance Committee and/or the Audit Committee.

If the investigation indicates that a violation of this Code has probably occurred, we will take such action as we believe to be appropriate under the circumstances. If we determine that an employee is responsible for a Code violation, he or she will be subject to disciplinary action up to, and including, termination of employment for cause and, in appropriate cases, civil action or referral for criminal prosecution. Appropriate action may also be taken to deter any future Code violations.

#### Anonymous Reporting of Possible Violations

Employees who wish to anonymously submit a concern or complaint regarding a possible violation of this Code should follow the procedures outlined in Cloudera’s Whistleblower Policy.

#### **No Rights Created**

This Code is a statement of fundamental principles, policies and procedures that govern the conduct of Cloudera’s directors, officers and employees in the conduct of Company business. It is not intended to and does not create any legal rights for any customer, partner, supplier, vendor, competitor, stockholder or any other non-employee or entity.

#### **Administration of this Code**

The committee may request reports from Cloudera’s executive officers about the implementation of this Code and take any other steps in connection with that implementation as it deems necessary, subject to the limitations set forth in this Code. Cloudera will notify employees of any material changes.

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